



Wise Guys Ltd.

MANCHESTER
1824

The University of Manchester

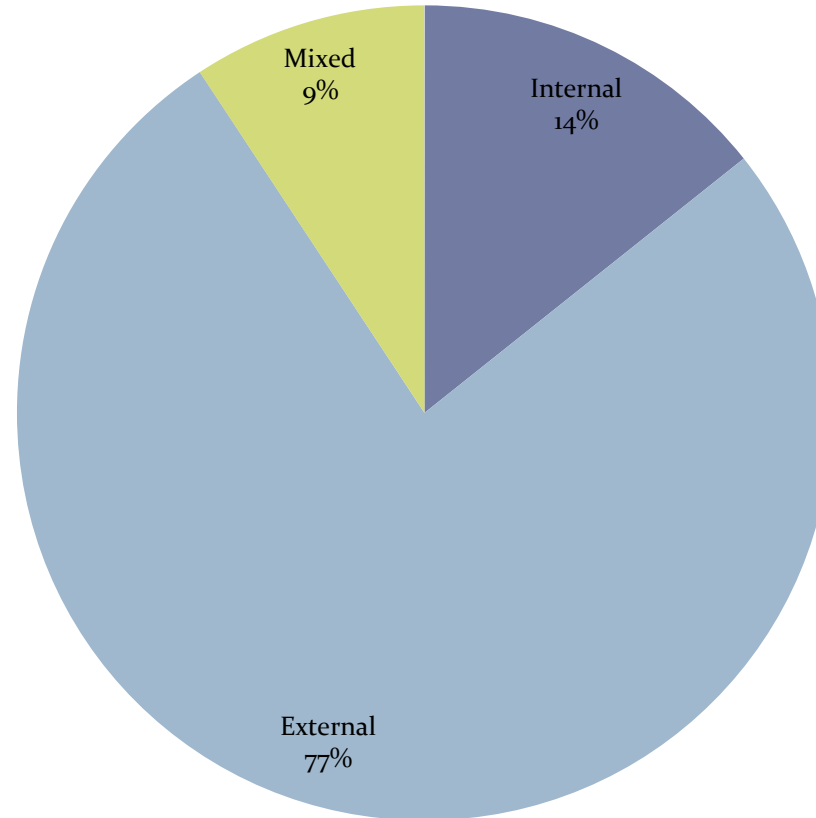


Exploring Innovation Policy Evaluation Practice

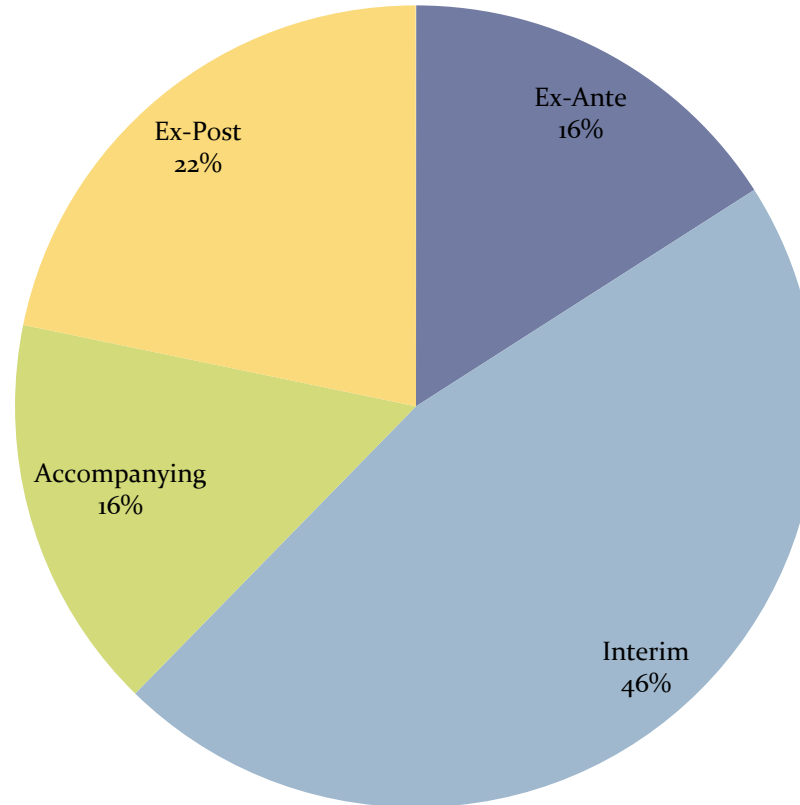
Jakob Edler
MIoIR/PREST, University of Manchester

24 September 2009, Brussels

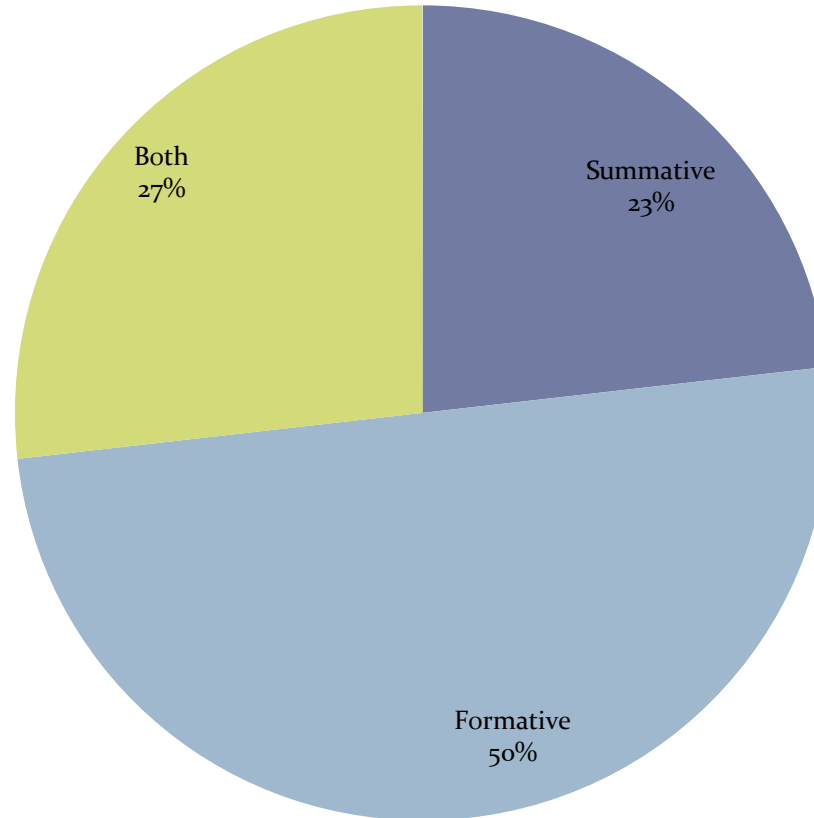
Who conducted the Appraisal



Timing of Appraisal



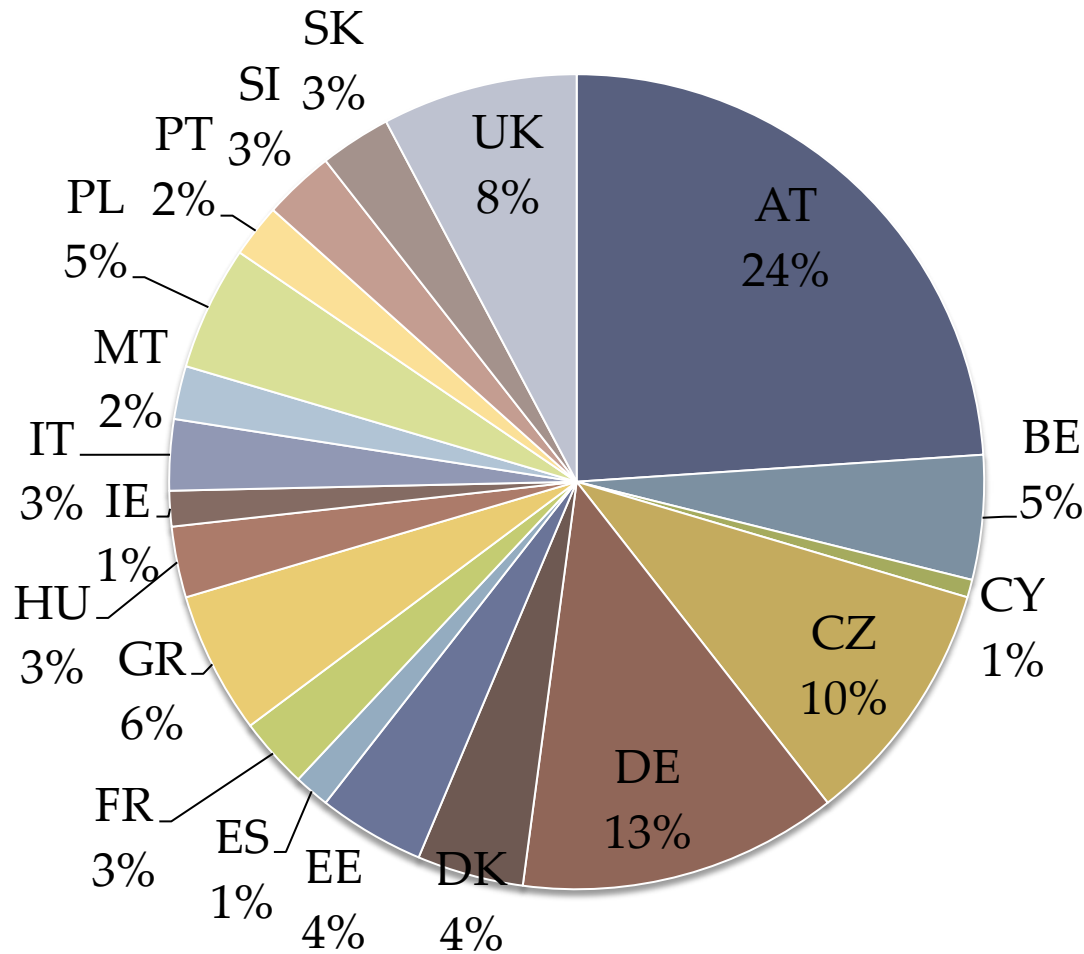
Purpose of Appraisal



Purpose of the session

- ▶ Developing a picture of evaluation practice in innovation policy across Europe
- ▶ Overview of purposes, methods, topics, impact, usefulness
- ▶ Preparing the workshop
 - ▶ Introducing InnoAppraisal logic for understanding further analysis

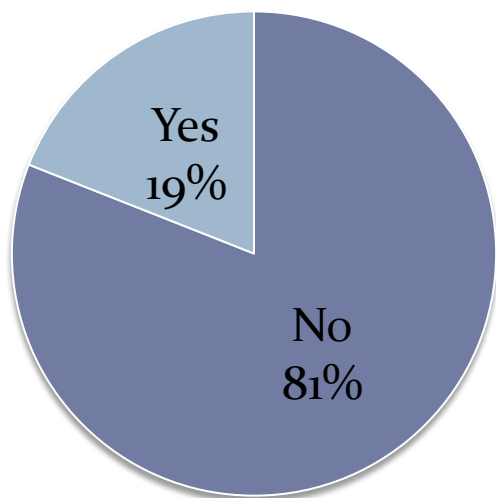
Share of evaluations - Countries



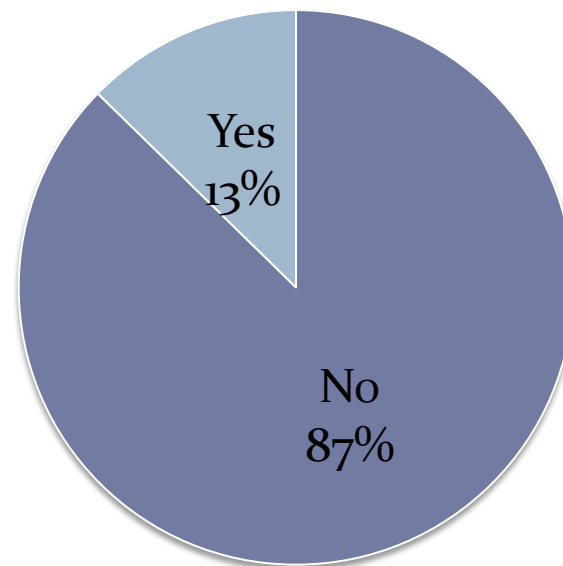
► Caveat:
Scandinavia
and the
Netherlands
missing

Structural Fund and Portfolio Appraisals

Structural Fund Appraisals



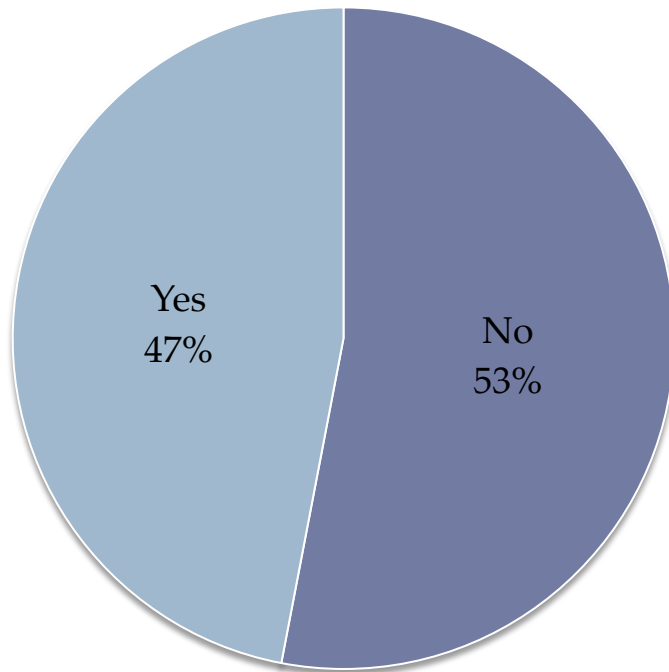
Portfolio Appraisals



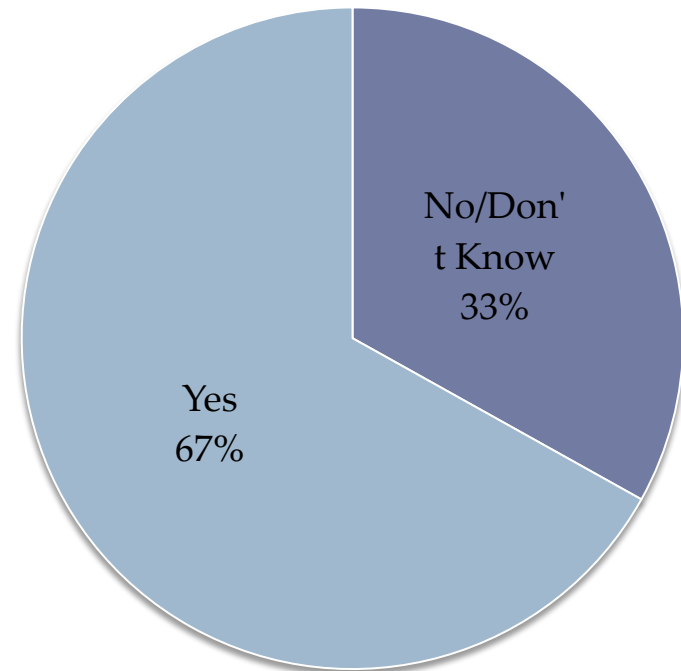
- ▶ One-third of structural fund appraisals are also portfolio appraisals
- ▶ One tenth of non-structural fund appraisals are also portfolio appraisals

Budget and Planning

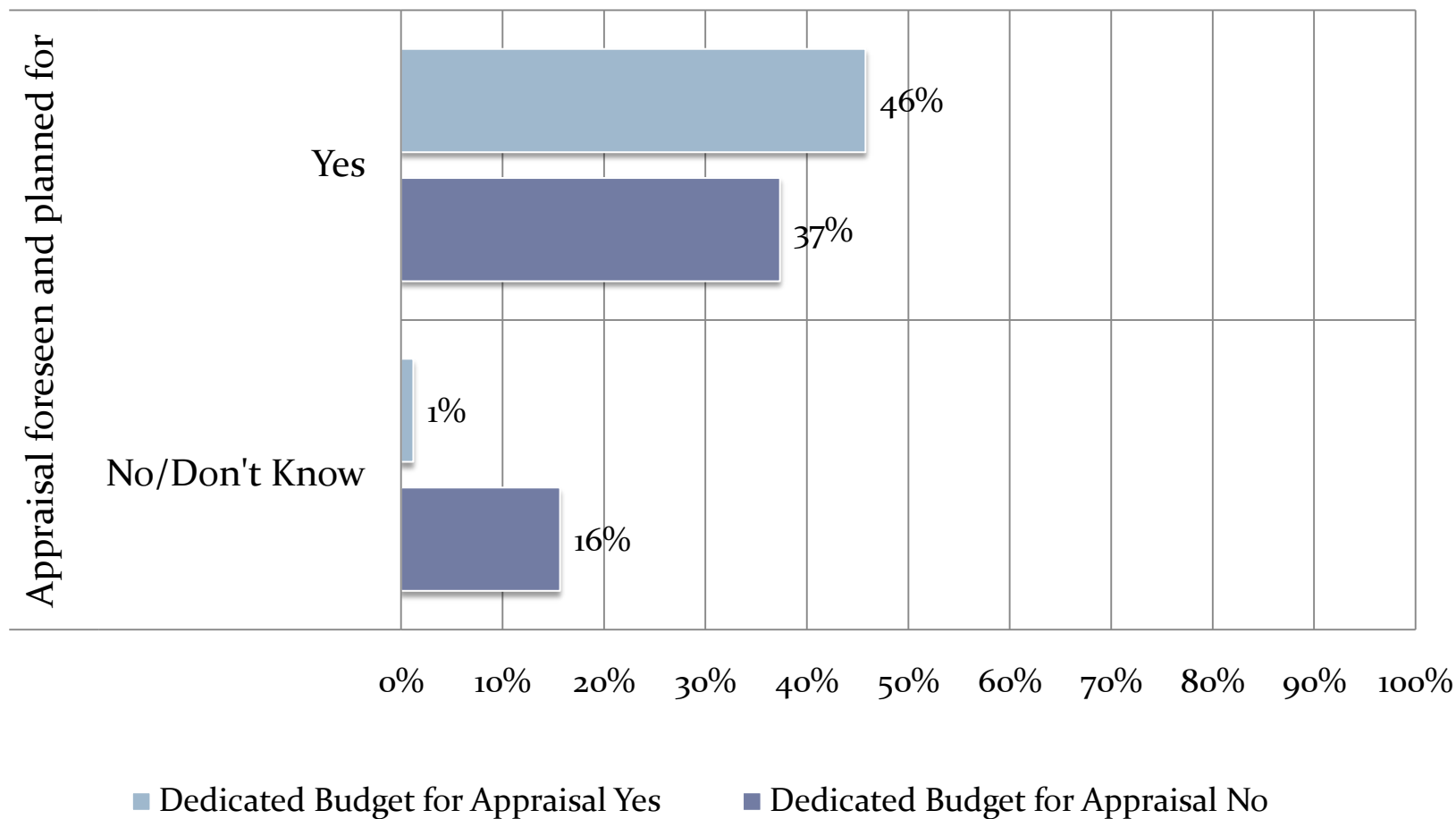
**Dedicated Budget for
Appraisal**



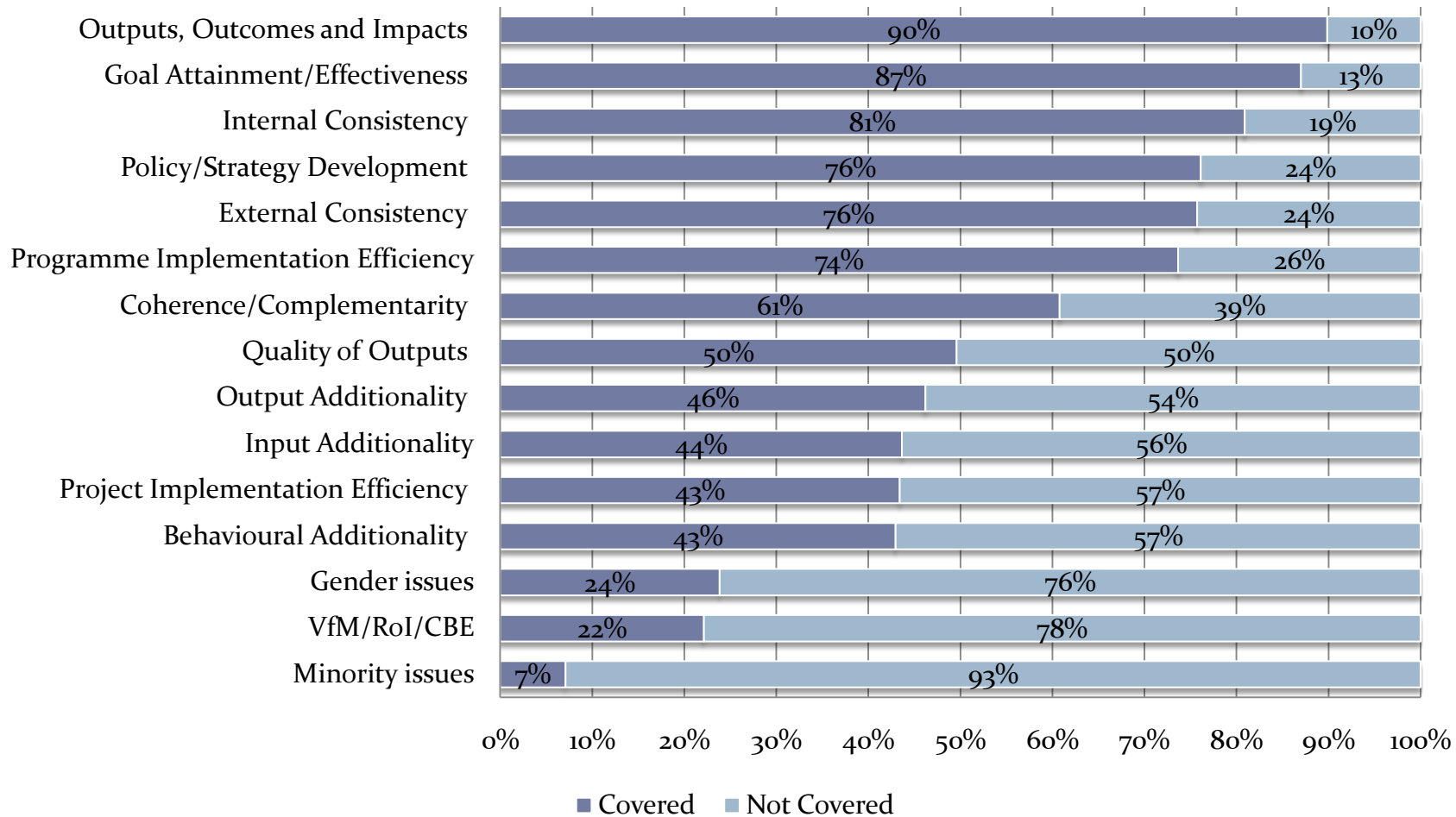
**Appraisal foreseen and
planned for**



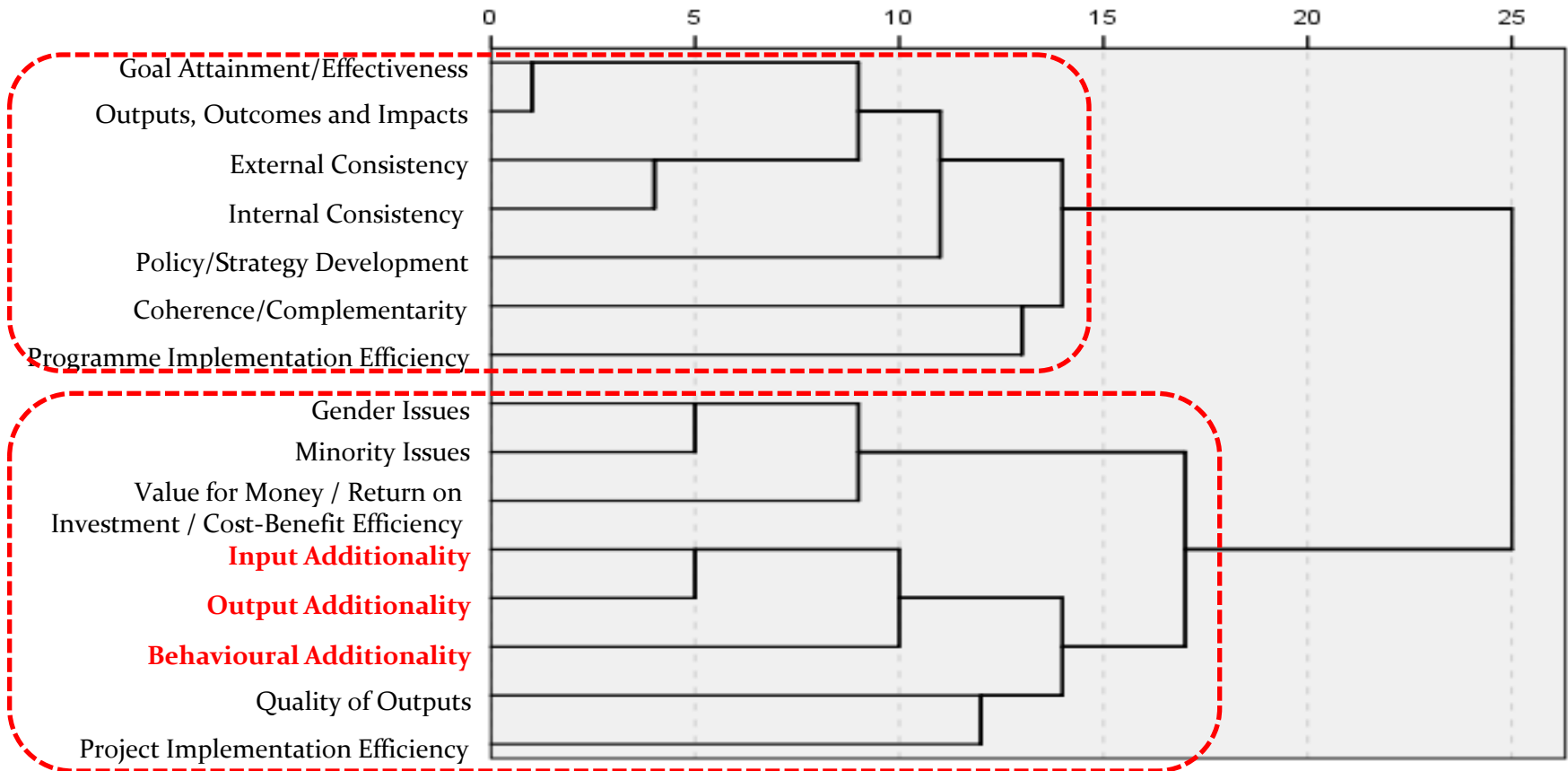
Budget and Planning



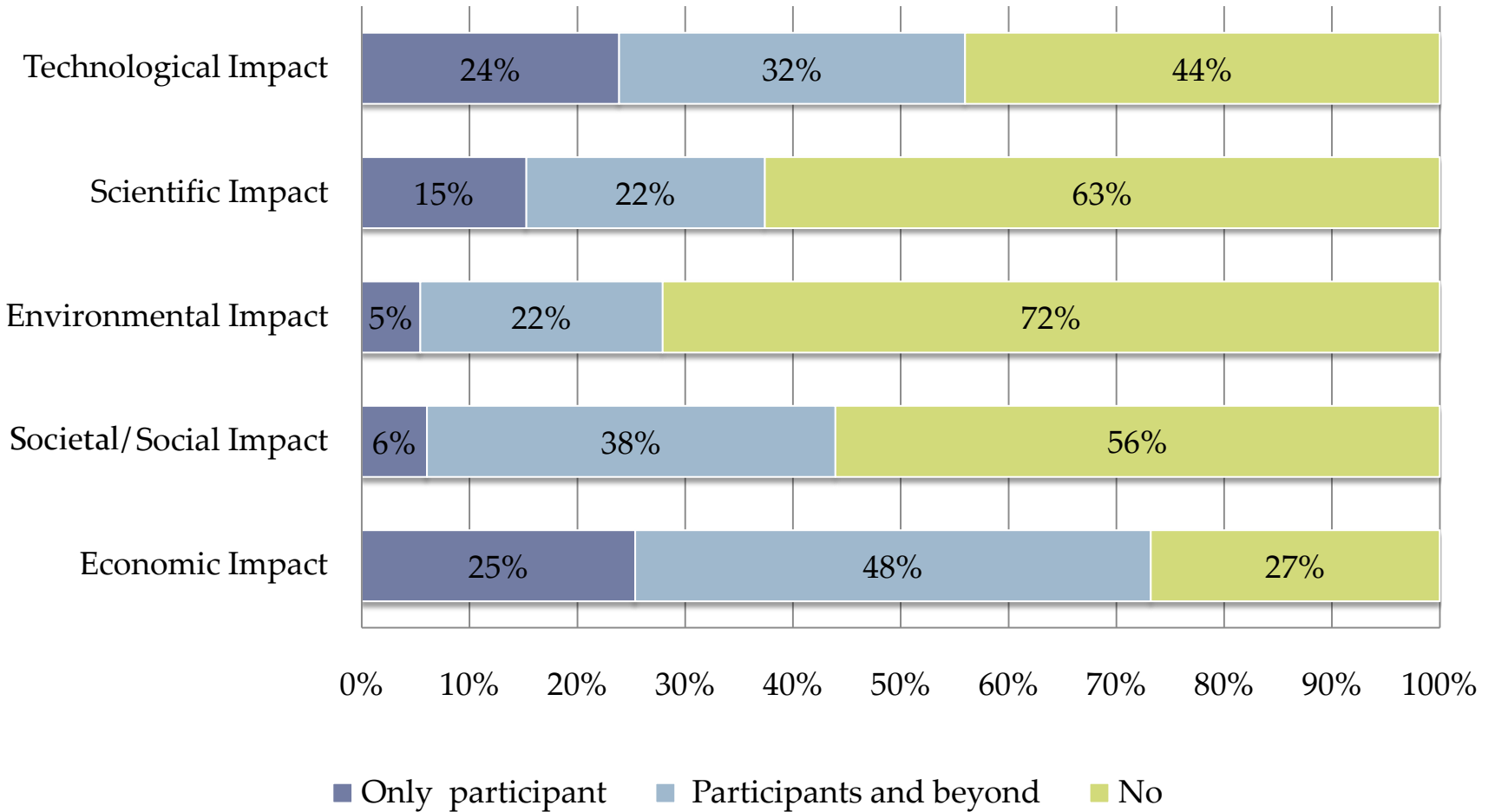
Topics



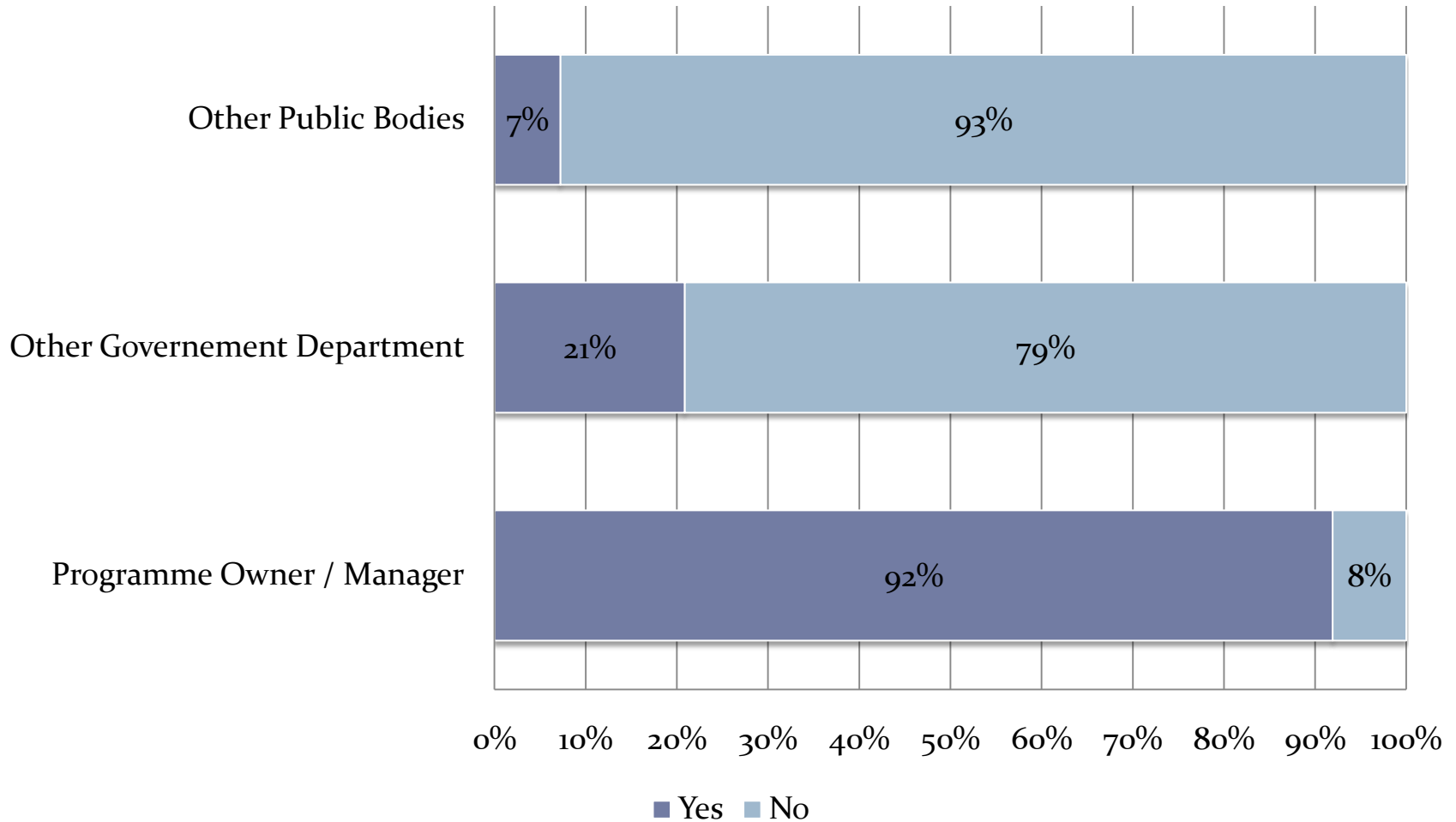
Dendrogram of Topics



Impacts Looked at

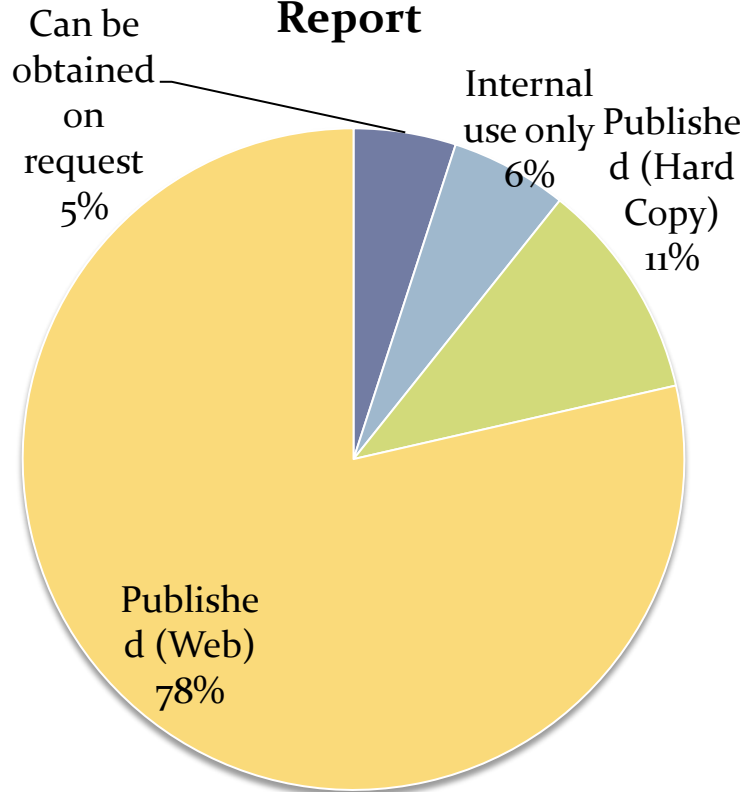


Sponsors of the evaluation

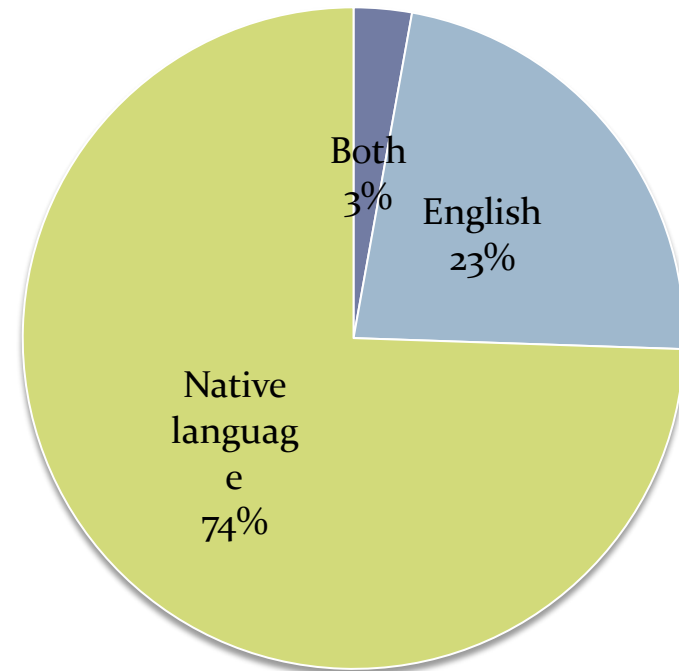


Availability and Language

Availability of the Appraisal Report

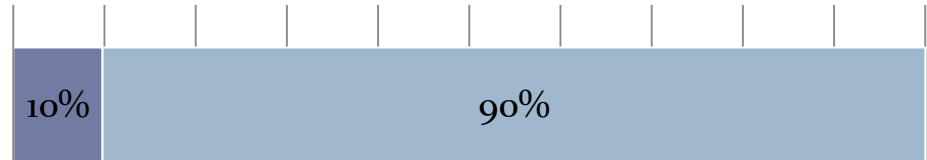


Language of the Appraisal Report



Approaches and Designs

Before/After Group Comparison Approach



Control Group Approach



Counter-Factual Approach



Cost / Benefit Approach



0% 10% 20% 30% 40% 50% 60% 70% 80% 90% 100%

■ Yes ■ No

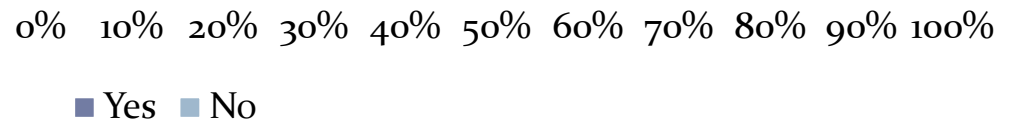
Approaches and Designs

Before/After Group Comparison Approach

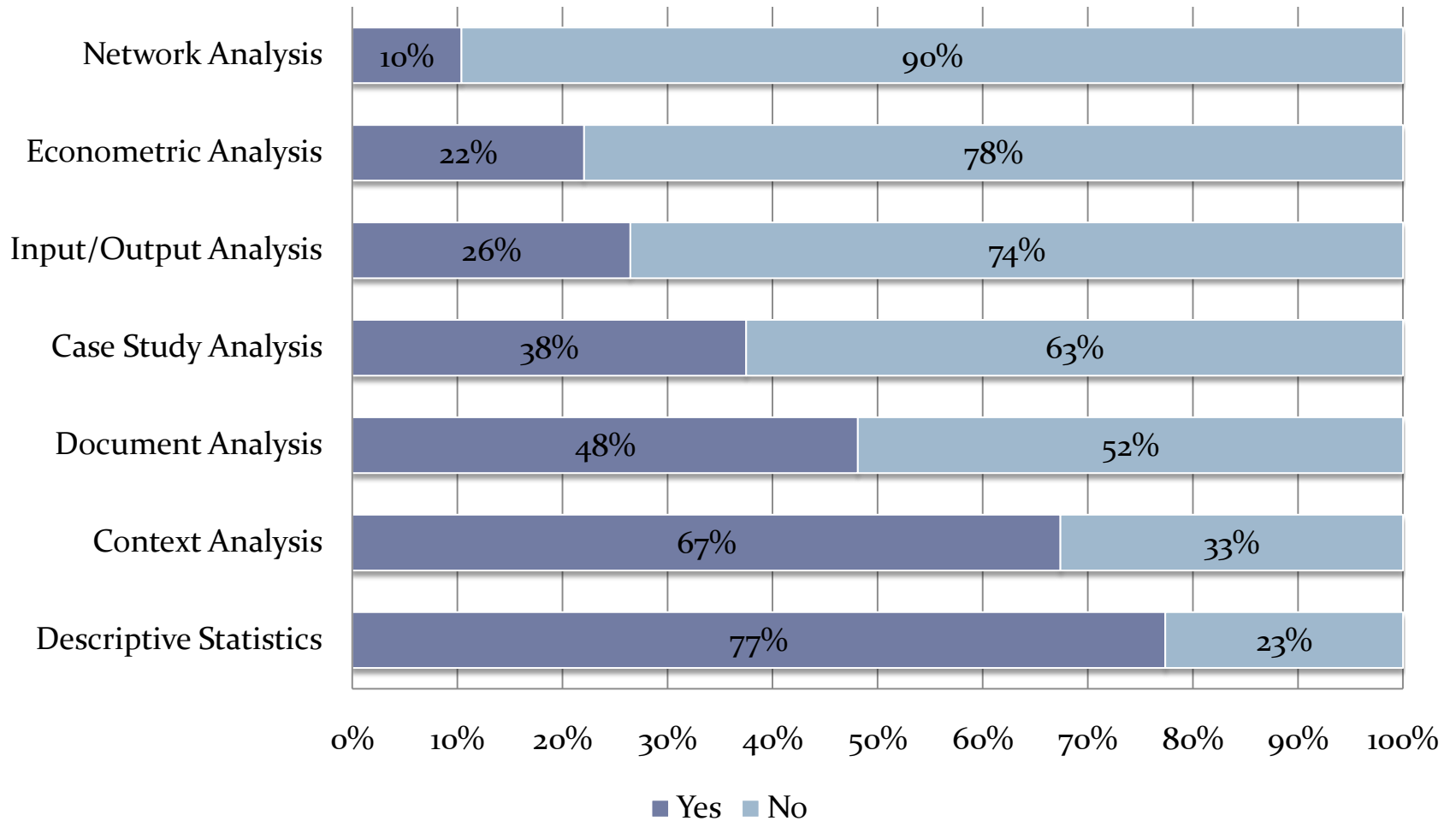
Control Group Approach

Counter-Factual Approach

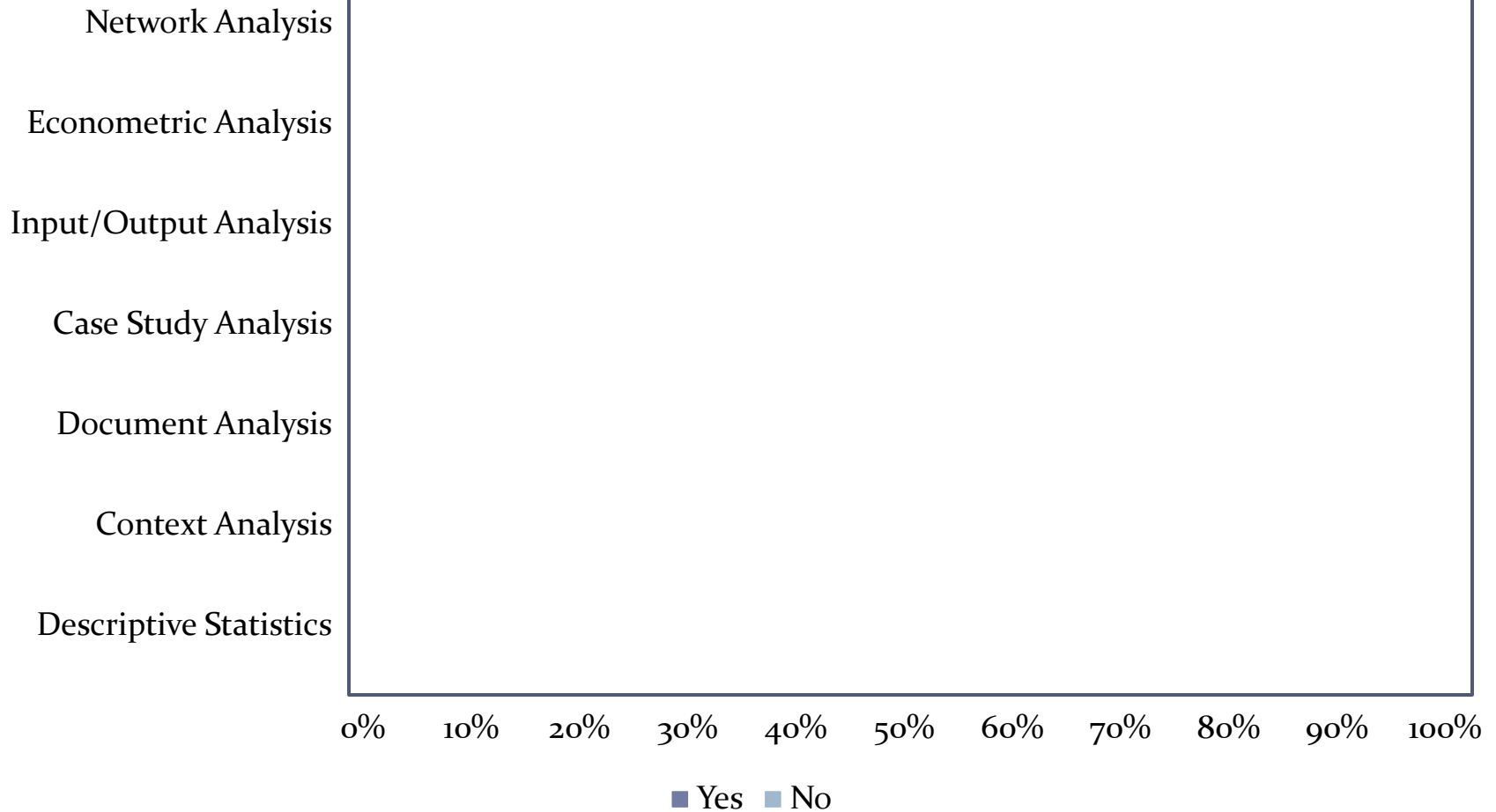
Cost / Benefit Approach



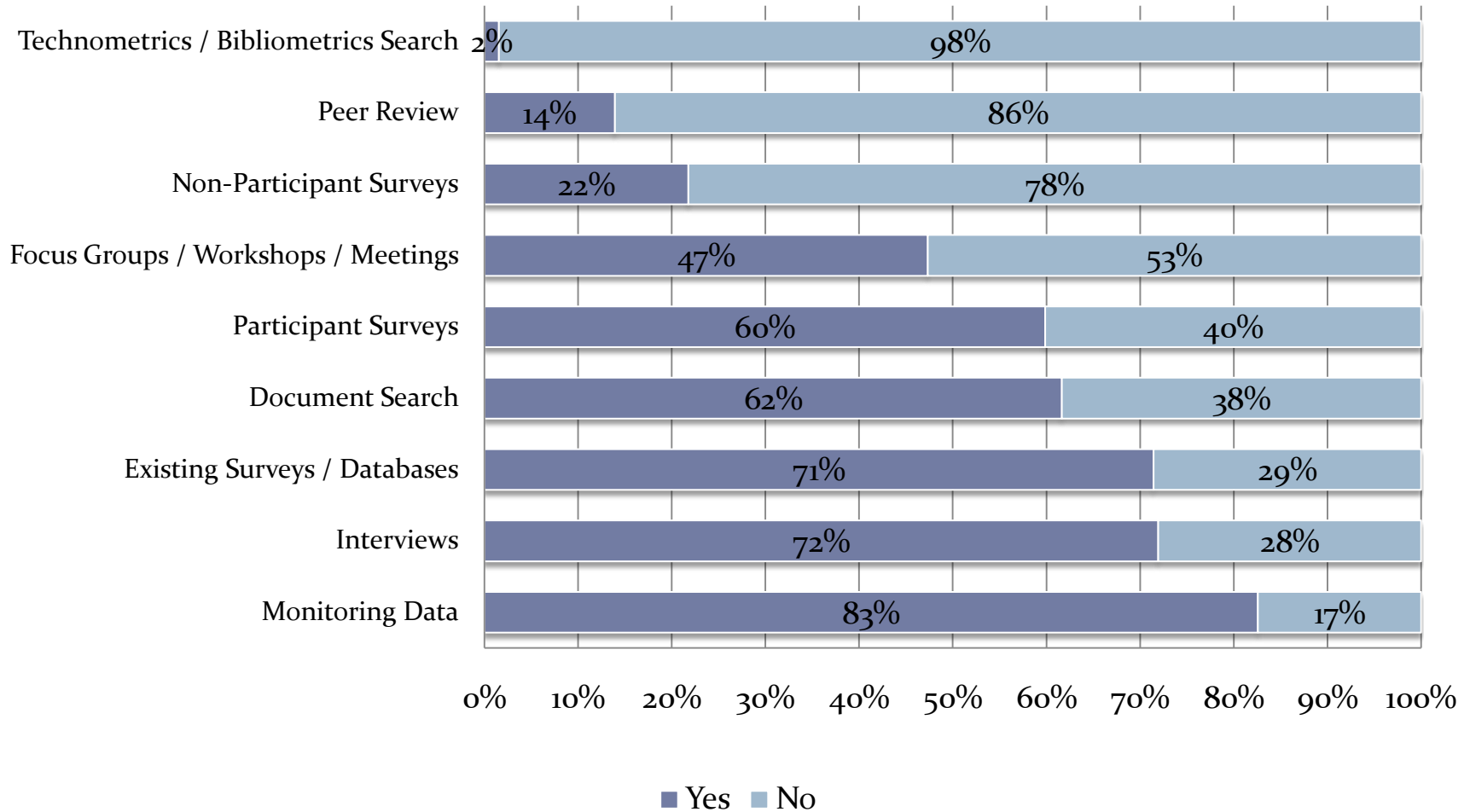
Data Analysis Methods



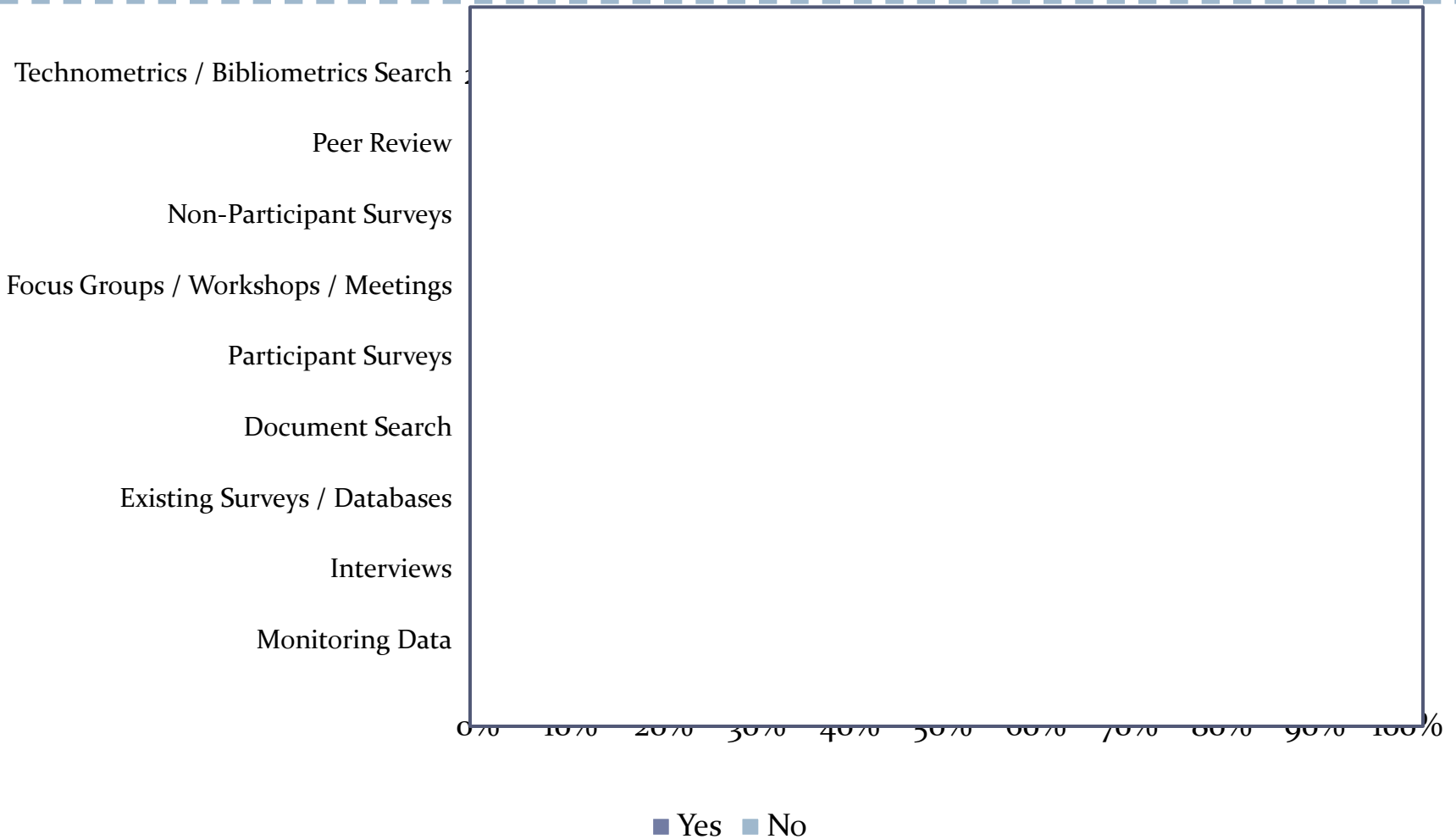
Data Analysis Methods



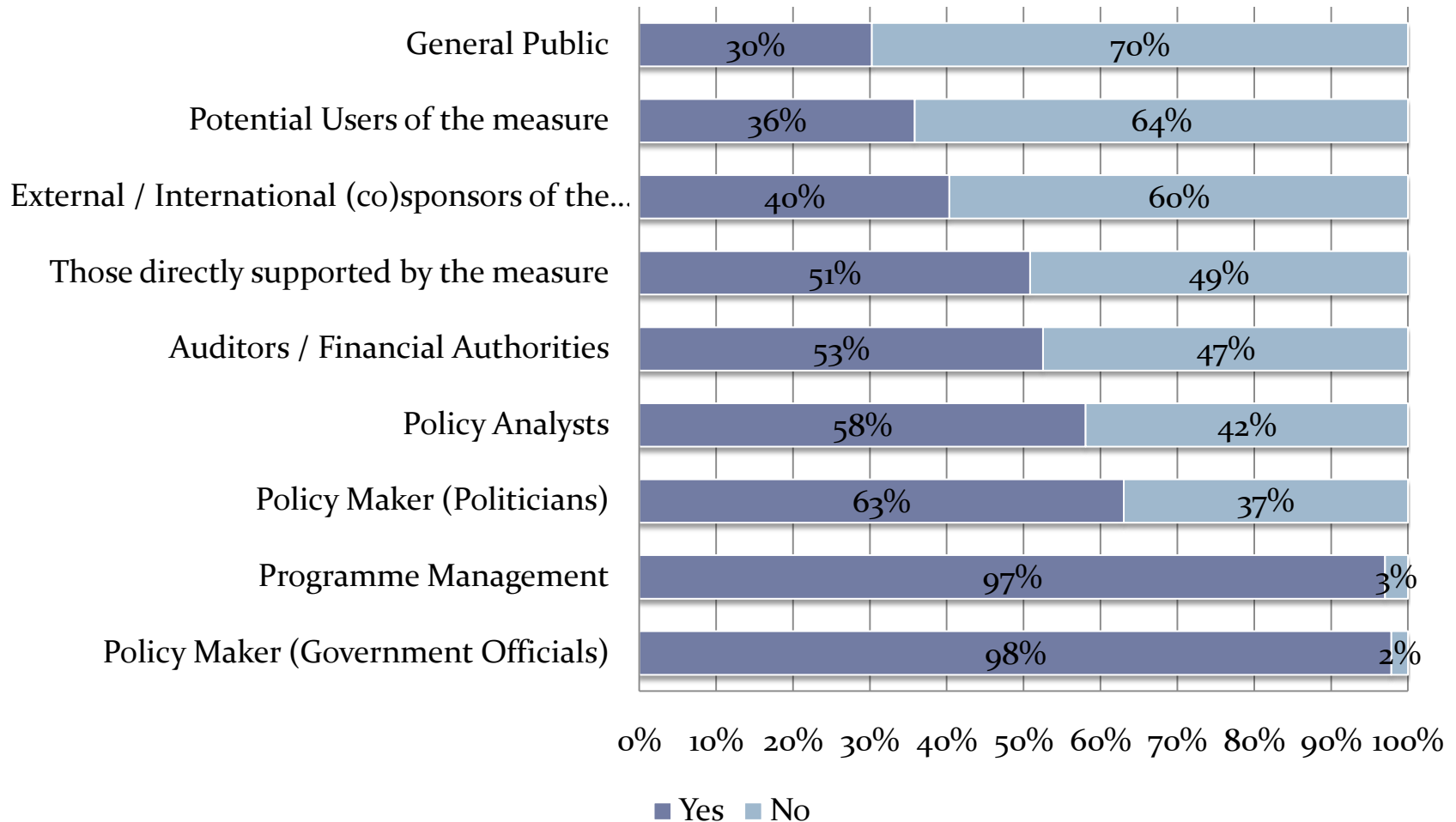
Data Sources and Collection Methods



Data Sources and Collection Methods

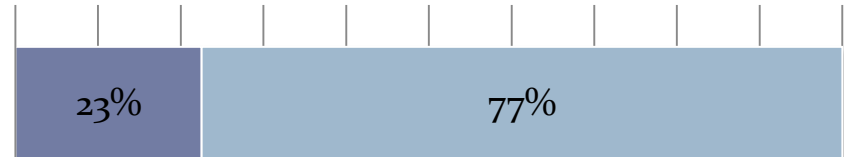


Audience addressed

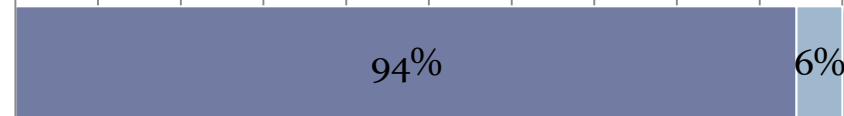


Terms of Reference

Methodologies and Approaches Specified in the ToR



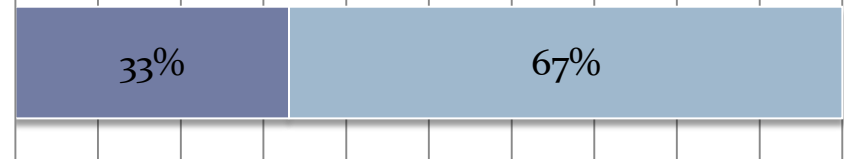
If ToR available, is the objective of the appraisal clearly stated



If ToR is available in the appraisal report, is it available elsewhere



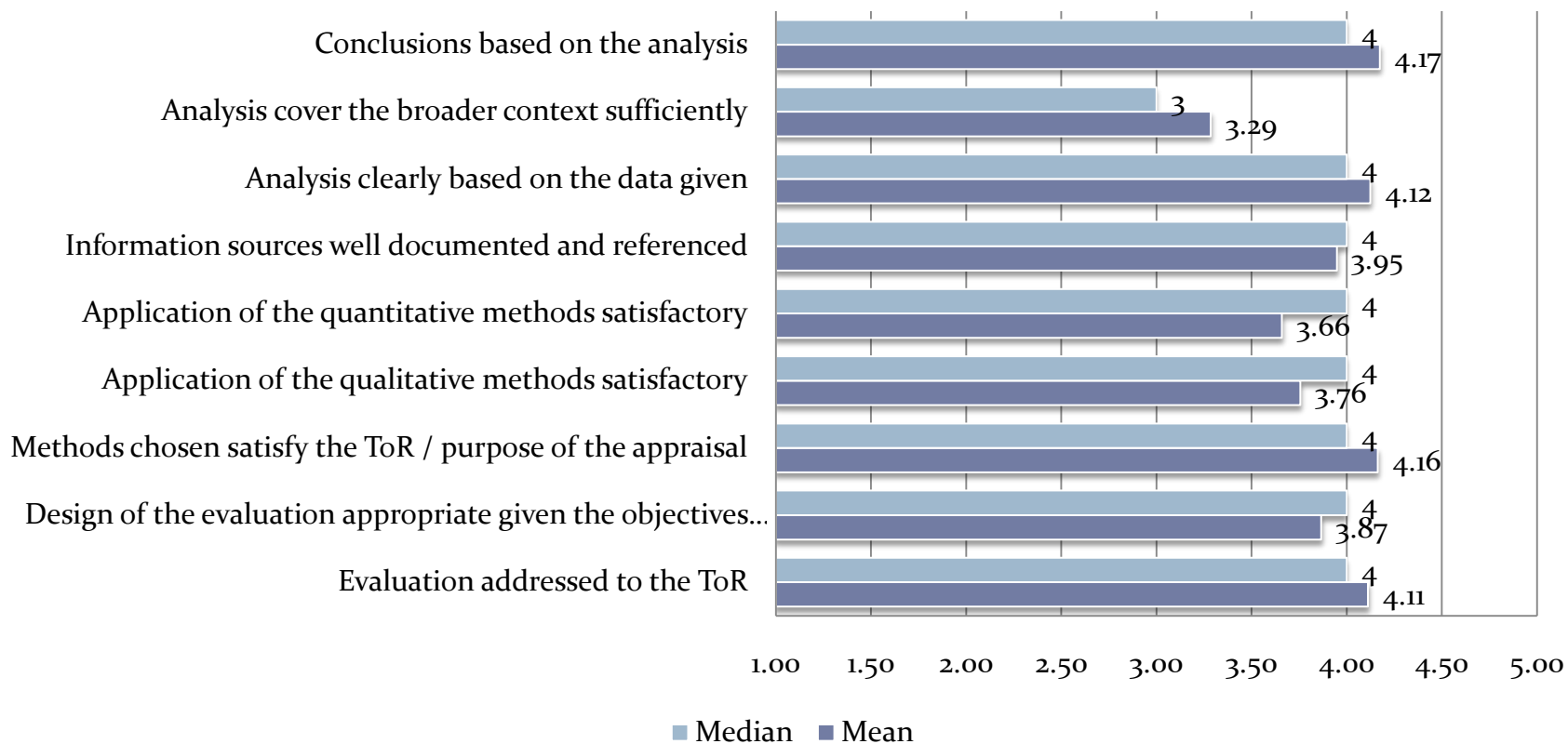
ToR available in the appraisal report



0% 10% 20% 30% 40% 50% 60% 70% 80% 90% 100%

■ Yes ■ No

Quality (as checked by policy makers)



► 5 Point Likert Scale: 1 (No, not at all), 2, 3, 4, 5 (Yes, definitely)

Quality (as checked by policy makers)

Conclusions based on the analysis

Analysis cover the broader context sufficiently

Analysis clearly based on the data given

Information sources well documented and referenced

Application of the quantitative methods satisfactory

Application of the qualitative methods satisfactory

Methods chosen satisfy the ToR / purpose of the appraisal

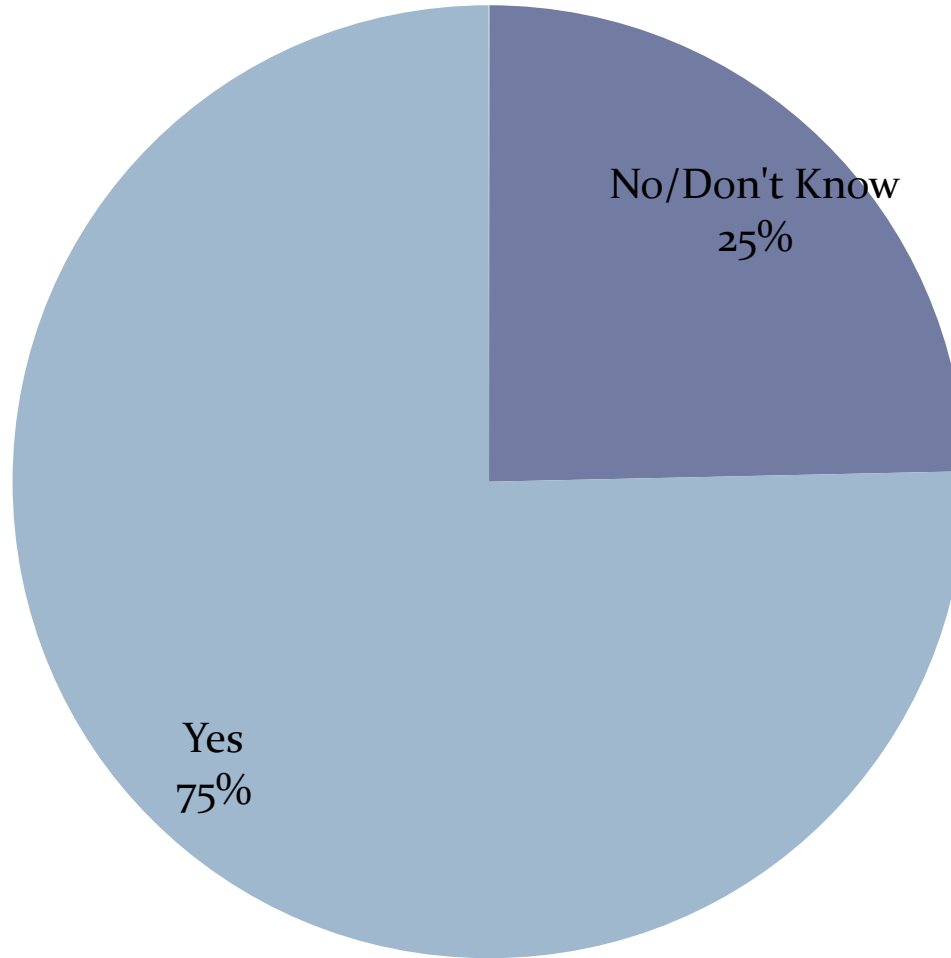
Design of the evaluation appropriate given the objectives.

Evaluation addressed to the ToR

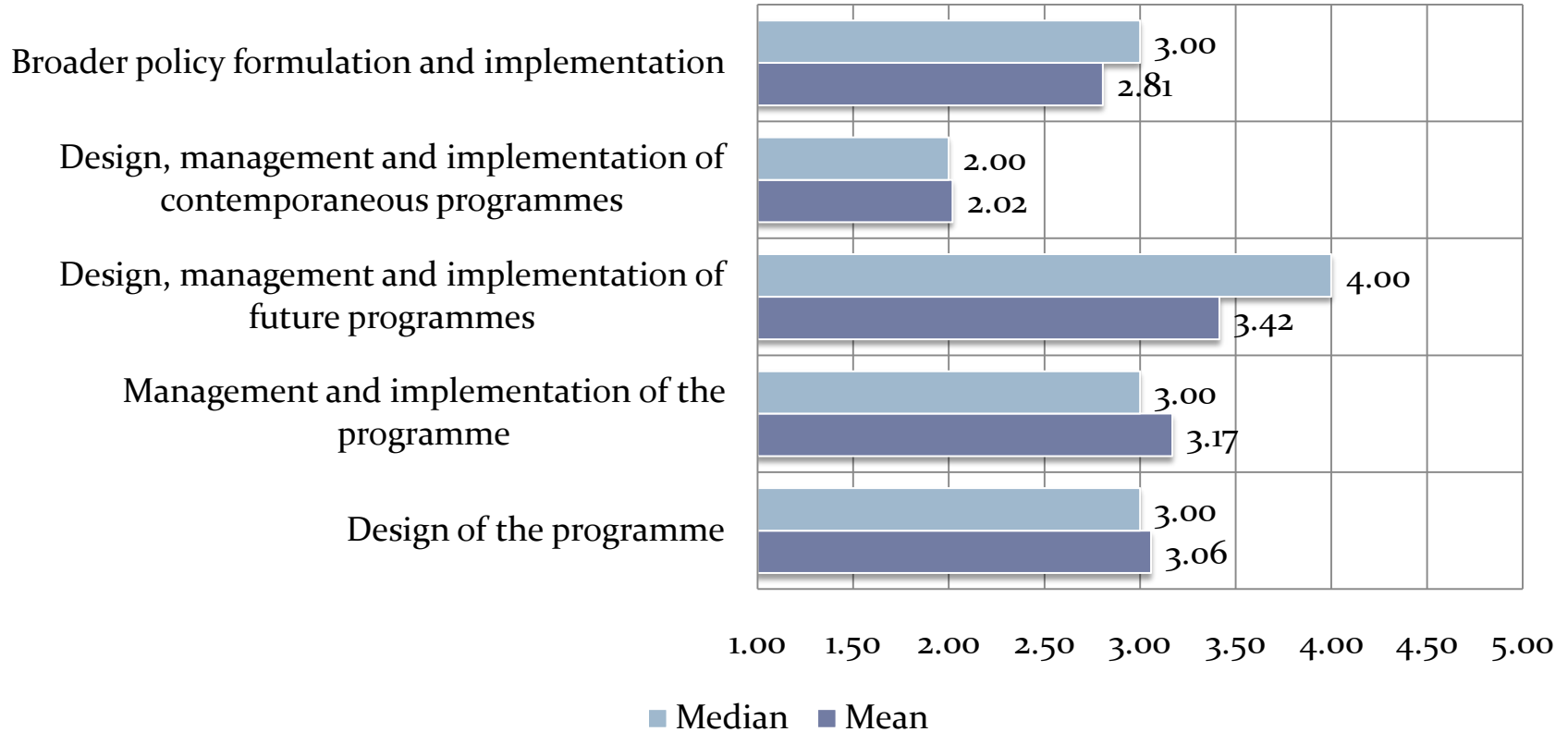
■ Median ■ Mean

- ▶ 5 Point Likert Scale: 1 (No, not at all), 2, 3, 4, 5 (Yes, definitely)

Appraisal contained any recommendations

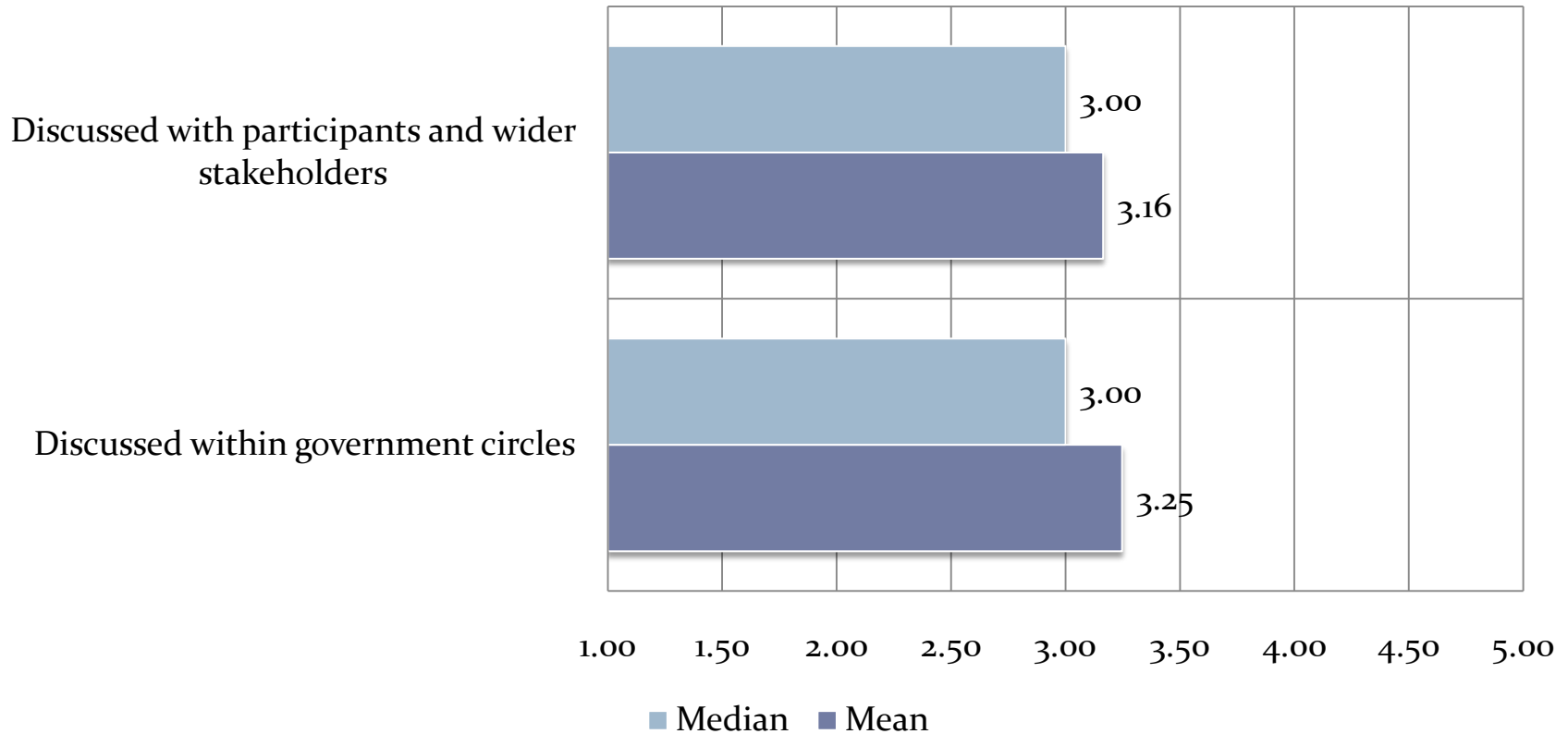


Usefulness (as assessed by policy makers)



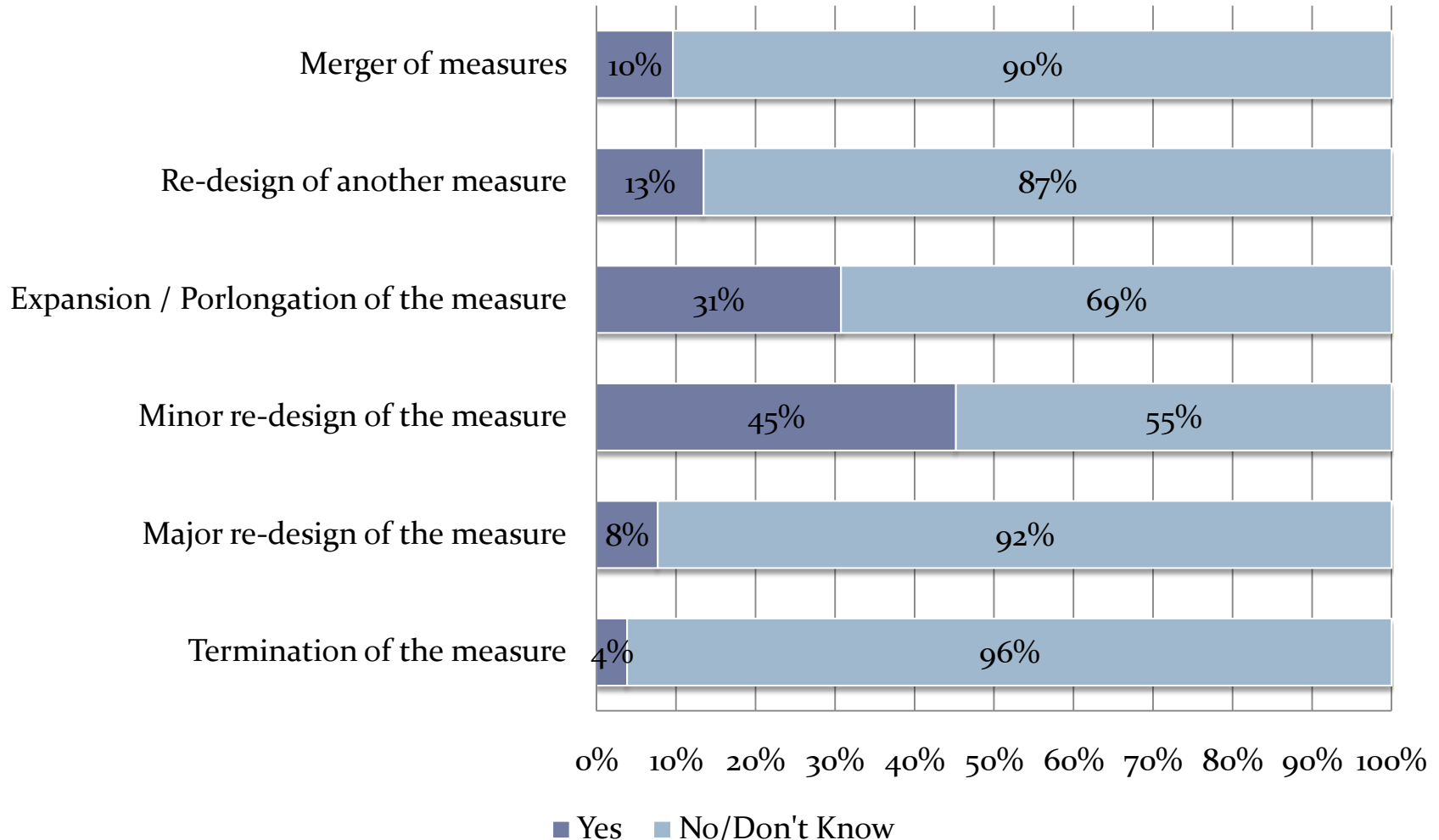
- ▶ 5 Point Likert Scale: 1 (not at all), 2, 3, 4, 5 (extensive)

Discussion (as assessed by policy makers)



- ▶ 5 Point Likert Scale: 1 (No, not at all), 2, 3, 4, 5 (Yes, definitely)

Consequences of appraisal reports (as assessed by policy makers)



Conclusion

- ▶ Strong differences in evaluation practice across countries (even if we control for different varieties of innovation policies)
- ▶ Austria clearly an exception
- ▶ Evaluation still not an automatic part of programme design, often not clearly earmarked in terms of budgets
- ▶ Topics covered:
 - ▶ strong alignment to programme goals,
 - ▶ soft topics more talk than action
- ▶ Focus on economic impact as expected
- ▶ Claim of broader impacts – realistic?

Conclusion

- ▶ Not many sophisticated approaches used, and no real satisfaction with
 - ▶ quantitative methods
 - ▶ context analysis
 - ▶ Monitoring and existing database allegedly broadly utilised – the right way` ?
 - ▶ Publication culture – transparency high
 - ▶ Valuation mainly a tool to learn for those responsible
 - ▶ Usefulness...
 - ▶ Lessons mainly on (other) future programmes !, little cross programme learning
 - ▶ Very seldom do evaluations cause radical changes
 - ▶ **HOW DO DIMENSIONS LINK? See next session!**
-

Thank You!